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044 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
9802.2 Warner

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

Polymerized Oligopeptide-Surfactant Chiral Micelles

09/876304
06/07/01
044 U.S. PTO

and invented by:

Isiah M. Warner
Eugene J. Billiot
Shahab A. Shamsi
Stefan J. ThibodeauxIf a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/296,351

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 45 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☒ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
 a. ☒ Formal b. ☐ Informal Number of Sheets 6
4. ☒ Oath or Declaration
 a. ☐ Newly executed (original or copy) ☐ Unexecuted
 b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) (2)
 c. ☒ With Power of Attorney ☐ Without Power of Attorney
 d. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application,
 see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
 Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
 incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
 a. ☐ Paper Copy
 b. ☐ Computer Readable Copy
 c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
☐ First Class ☒ Express Mail (Specify Label No.): EK655899284

**UTILITY PATENT APPLICATION TRANSMITTAL
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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Small Entity Statement(s) - Specify Number of Statements Submitted: _____
17. ☐ Additional Enclosures *(please identify below):*

Deposit Account Authorization (2 originals)

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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Applicant is entitled to small entity status under 37 C.F.R. § 1.27, and small entity status is hereby claimed.

Fee Calculation and Transmittal**CLAIMS AS FILED**

| For | #Filed | #Allowed | #Extra | Rate | Fee |
|--|--------|----------|--------|-----------|----------|
| Total Claims | 20 | - 20 = | 0 | x \$9.00 | \$0.00 |
| Indep. Claims | 3 | - 3 = | 0 | x \$40.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| BASIC FEE | | | | | \$355.00 |
| OTHER FEE (specify purpose) _____ | | | | | \$0.00 |
| TOTAL FILING FEE | | | | | \$355.00 |

- ☒ A check in the amount of \$355.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0096 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: June 7, 2001


SignatureJohn H. Runnels
Reg. No. 33,451

EXPRESS MAIL NO. EK655899284

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Isiah M. Warner *et al.*
Filing Date: June 7, 2001
Serial Number: 09/_____
Title: Polymerized Oligopeptide-Surfactant Chiral Micelles
Attorney File: 9802.2 Warner

JC997 U.S. PTO
09/876304
06/07/01

DEPOSIT ACCOUNT AUTHORIZATION
AND REQUEST FOR AUTOMATIC EXTENSIONS OF TIME

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

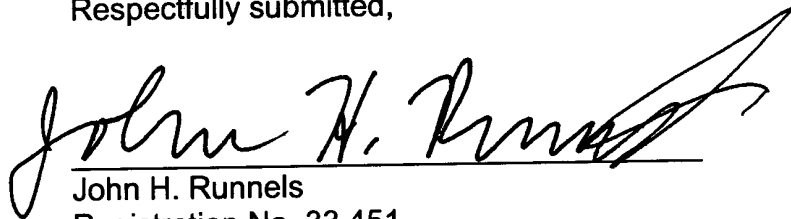
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.492 that may be required during the entire pendency of this application, and to credit any amounts paid in excess of those actually due, to Deposit Account No. 20-0096.

It is applicant's intention generally to pay all fees required under 37 C.F.R. §§ 1.16, 1.17, and 1.492 by timely-submitted checks. However, in those instances where no check is timely submitted, or where a check is submitted for an amount less than the amount required, the Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.492 that may therefore be required during the entire pendency of this application to Deposit Account No. 20-0096.

EXPRESS MAIL NO. EK655899284

Pursuant to 37 C.F.R. § 1.136(a)(3), please treat this paper as a request to treat any concurrent or future replies filed in this application, that require a petition for an extension of time under 37 C.F.R. § 1.136(a) for timely submission, as incorporating a petition for an extension of time for the appropriate length of time. Where the necessary fees for an extension of time are not paid by contemporaneously-submitted check, please charge Deposit Account No. 20-0096 as discussed above.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John H. Runnels", is written over a horizontal line.

John H. Runnels
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June 7, 2001